## State of California Office of Administrative Law

In re:

**Department of State Hospitals** 

Regulatory Action:

Title 09, California Code of Regulations

Amend sections: 4335 and 4340

NOTICE OF APPROVAL OF REGULATORY **ACTION** 

OAL Matter Number: 2025-1023-01

**Government Code Section 11349.3** 

OAL Matter Type: Regular Resubmittal (SR)

In this regular rulemaking, the Department of State Hospitals (DSH) is adopting regulations regarding (1) entry into patient rooms by other patients and DSH staff, and (2) observation rounds conducted by DSH staff.

OAL approves this regulatory action pursuant to section 11349.3 of the Government Code. This regulatory action becomes effective on 12/8/2025 pursuant to Government Code section 11343.4(b)(3).

Date:

December 8, 2025

Steven J. Escobar Senior Attorney

Original: Stephanie Clendenin, Director

Copy:

Anna Libonati

For:

Kenneth J. Poque

Director

| STATE OF CALIFORNIA-OFFICE OF ADMINISTRATIVE WELL SUBMISSION  STD. 400 (REV. 10/2019)   |   |                                    |  | For use by Secretary of State only  |
|---|---|------------------------------------|--|---|
| OAL FILE NOTICE FILE NUMBER Z- 2024-1202-01  For use by Office of Administrative Law (OAL) only   |   |                                    |  | ENDORSED - FILED in the office of the Secretary of State of the State of California |
|   |   | i i                                |  | DEC 0 8 2025  |
| 4   |   | 0FFICE (<br>2025 [                 | OF ADMIN. LAW<br>ICT 28 FW4:08             | 1:58 pm   |
|   | 0<br>- 2  |                                    |  | NM  |
| NOTICE  |   | REGULATIONS                        |  |   |
| Department of State Hospitals   |   |                                    | AGENCY FILE NUMBER (If any)                |   |
| A. PUBLICATION OF NOTIC   | E (Complete for                                     | nublication in Not                 | ice Penister)                              |   |
| 1 SUBJECT OF NOTICE   | Complete for  | TITLE(S)                           | FIRST SECTION AFFE                         | CTED 2. REQUESTED PUBLICATION DATE  |
| 3 NOTICE TYPE Notice re Proposed Pagulaton Assign Other   | 4 AGENCY CO   | DNTACT PERSON                      | TELEPHONE NUMBER                           | FAX NUMBER (Optional)   |
| OAL USE ACTION ON PROPOSED NO   |   | now "Station"                      | NOTICE REGISTER NU                         | IMBER PUBLICATION DATE  |
| ONLY Approved as Submitted  | Approved as Modified                                | Disapproved/<br>Withdrawn          | 2024,5                                     | 50-2 12/13/24   |
| B. SUBMISSION OF REGUL  | ATIONS (Comple                                      | ete when submittin                 | g regulations)                             |   |
| 1a SUBJECT OF REGULATION(S)  1b ALL PREVIOUS RELATED GAL REGULATORY ACTION NUMBER(S)  |   |                                    |  |   |
| Entry Into Patient Rooms and Observation Rounds   2025-0701-04S   |   |                                    |  |   |
| 2. SPECIFY CALIFORNIA CODE OF REGULAT   | IONS TITLE(S) AND SECTION                           | N(S) (including title 26, if toxic | s related)                                 |   |
| (List all section number(s) individually. Attach additional sheet if needed.)   | 1335, 4340 MEND                                     |                                    |  |   |
| 9   |   |                                    |  |   |
| 3 TYPE OF FILING  |   |                                    |  |   |
| Regular Rulemaking (Gov. Code §11346)  Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or withdrawn nonemergency filing (Gov. Code §§11349.3, |   |                                    |  |   |
| 11349.4) Emergency (Gov. Code, §11346.1(b))   | Resubmittal of disappro<br>emergency filing (Gov. ( |                                    | Other (Specify)                            |   |
| 4 ALL BEGINNING AND ENDING DATES OF A<br>.04/01/2025-04/22/2025 and 09/09/2025-09/24/2025   | VAILABIL!TY OF MODIFIED F                           | REGULATIONS AND/OR MATER           | RIAL ADDED TO THE RULEM                    | IAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code. §11347.1)                  |
| 5 EFFECTIVE DATE OF CHANGES (Gov. Code<br>Effective January 1, April 1, July 1, o<br>October 1 (Gov. Code §11343.4(a))  |   | ling with \$100 Change             |  | other   |
| 6 CHECK IF THESE REGULATIONS REQUIRE  Department of Finance (Form STD. 3  Other (Specify)   |   |                                    | R CONCURRENCE BY, ANO Practices Commission | THER AGENCY OR ENTITY State Fire Marshal  |
| 7 CONTACT PERSON Anna Libonati  |   | TELEPHONE NUMBER (916) 654-2478    | FAX:NUMBER (                               | Optional) E-MAIL ADDRESS (Optional) DSH.Regulations@dsh.ca.gov                      |
| 8 I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action,  |   |                                    |  | For use by Office of Administrative Law (OAL) only  ENDORSED APPROVED               |
| SIGNATURE OF AGENCY HEAD OR DESIGNED  | DATE  | -                                  | ENDONIOLD/MITTIOVED                        |   |
| Stephanie Clandenia  TYPED NAME AND TITLE OF SIGNATORY  |   | 10/20/20                           | 25   | DEC 08 2025   |
| Stephanie Clendenin, Director   |   |                                    |  |   |
|   |   |                                    |  | Office of Administrative Law  |

## DEPARTMENT OF STATE HOSPITALS FINAL REGULATIONS TEXT ENTRY INTO PATIENT ROOMS AND OBSERVATION ROUNDS

California Code of Regulations
Title 9. Rehabilitative and Developmental Services
Division 1. Department of Mental Health
Chapter 16. State Hospital Operations
Article 3. Safety and Security

## Section 4335. Entry into Patient Rooms.

- Patients shall not enter any single bedroom or dormitory bedroom (hereinafter referred to collectively as "room") in which the patient does not reside except under the conditions of subdivision (b) of this section. Patients cannot enter or remain in any room in which the patient does not reside between 22:45 and 07:00.
- (b) Patients may be permitted to enter the room of other patients to visit under the following conditions:
  - (1) No patient residing in the room has objected to the visit.
  - (2) The patients reside in the same unit.
- (c) If any patient residing in the room has objected to the visit or staff reasonably believes there may be a health, safety, or security concern to any patients, staff, or the public, staff shall escort the visiting patient out of the room or contact hospital police for assistance escorting the visiting patient out of the room, if necessary.
- (d) Except in emergency situations, staff will either knock on the door or announce their presence before entering a patient's room.
  - (1) Emergency situations are any situations that can negatively impact the therapeutic environment or safety of the patients or staff.

Note Authority cited: Sections 4005.1, 4011 and 4101, Welfare and Institutions Code. Reference: Sections 4027 and 4312, Welfare and Institutions Code.

## Section 4340. Observation Rounds.

- (a) Observation rounds are when staff inspect, visualize, or otherwise monitor any area of the hospital.
- (b) Staff shall conduct observation rounds of all areas that are occupied by patients, or may be occupied by patients, at least once every two hours except under emergency situations as that term is defined in section 4335(d)(1).
- (c) <u>During observation rounds, staff will identify each patient. If the patient is sleeping, staff will check the patient to observe movement and ascertain that the patient is breathing.</u>
  - (1) Additional illumination, such as a flashlight, may be used when necessary and to the extent necessary for proper visualization of the patient to ascertain movement and that the patient is breathing.
- (d) Staff performing observation rounds shall be accompanied by a second staff member when entering patient rooms as that term is defined in section 4335(a).

Note Authority cited: Sections 4005.1, 4011 and 4101, Welfare and Institutions Code. Reference: Sections 4027 and 4312, Welfare and Institutions Code.